

10/506867 #3

DT04 Rec'd PCT/PTO 03 SEP 2004

Attorney Docket No. 62,101 (70801)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: N. Takamori, et al.

U.S.S.N.: Not Yet Assigned GROUP: Not Yet Assigned

FILED: Herewith EXAMINER: Not Yet Assigned

FOR: OPTICAL INFORMATION RECORDING MEDIUM

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. **LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. **EV438995104US**, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: September 3, 2004

Signature: 

(Lakeisha Bryant)

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II. COPIES

- a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. _____ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. _____, filed on _____. The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

- a. X Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. X A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- English language abstracts are provided for references BA-BO.
- c. _____ The following additional information is provided for the Examiner's consideration:

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)

(check one box)

- a. X within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. _____ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. _____ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a

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- c. _____ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. _____ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. §1.17(p).
or
b. _____ See the certification below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. _____ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

b. _____ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

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- c. _____ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.


VII. FEE PAYMENT

- _____ Enclosed please find a check in the amount of \$180.00 for the above-indicated fee.
- _____ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.
- X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,

Date: September 3, 2004


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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	DOCKET NO: 62,101 (70801)	SERIAL NO: Not Yet Assigned
	APPLICANT(S): N. Takamori, et al.	
	FILING DATE: Herewith	GROUP NO.: Not Yet Assigned

UNITED STATES PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	BA 05-012673	01/22/93	Japan			Abstract
	BB 05-012715	01/22/93	Japan			Abstract
	BC 2001-035012	02/09/01	Japan			Abstract
	BD 2001-084643	03/30/01	Japan			Abstract
	BE 2003-091875	03/28/03	Japan			Abstract
	BF 2001-084645	03/30/01	Japan			Abstract
	BG 2002-025057	01/25/02	Japan			Abstract
	BH 2001-056961	02/27/01	Japan			Abstract
	BI 08-124217	05/17/96	Japan			Abstract
	BJ 2001-243657	09/07/01	Japan			Abstract
	BK 2001-202657	07/27/01	Japan			Abstract
	BL 2001-067727	03/16/01	Japan			Abstract
	BM 2001-067731	03/16/01	Japan			Abstract
	BN 2001-032935	02/06/01	Japan			Abstract
	BO 2002-056573	02/22/02	Japan			Abstract

OTHER DOCUMENTS (INCLUDING AUTHO, TITLE, DATE, PERTINENT PAGES, ETC.)

CA	T. Shintani, et al., "A New Super-Resolution Film Applicable to Read-Only and Rewritable Optical Disks," Jpn. J. Appl. Phys., Vol. 38, 1999, pages 1656-1660.

EXAMINER:

DATE: